

<b>Notice of References Cited</b>	Application/Control No. 11/564,551	Applicant(s)/Patent Under Reexamination CALDAS, JOSEPH J.	
	Examiner BRUCE I. EBERSMAN	Art Unit 3691	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A US-2002/0095369	07-2002	Kaplan et al.	705/37
*	B US-6,963,856	11-2005	Lutnick et al.	705/37
*	C US-2007/0162379	07-2007	Skinner, Darrin	705/37
*	D US-2007/0226118	09-2007	Gill, Andrew	705/037
	E US-			
	F US-			
	G US-			
	H US-			
	I US-			
	J US-			
	K US-			
	L US-			
	M US-			

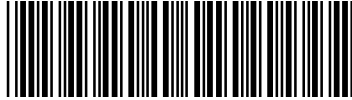
**FOREIGN PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N				
	O				
	P				
	Q				
	R				
	S				
	T				

**NON-PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
	U				
	V				
	W				
	X				

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Index of Claims</b> 	<b>Application/Control No.</b> 11564551	<b>Applicant(s)/Patent Under Reexamination</b> CALDAS, JOSEPH J.
	<b>Examiner</b> BRUCE I EBERSMAN	<b>Art Unit</b> 3691

✓	<b>Rejected</b>
=	<b>Allowed</b>

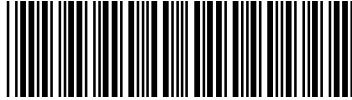
-	<b>Cancelled</b>
÷	<b>Restricted</b>

N	<b>Non-Elected</b>
I	<b>Interference</b>

A	<b>Appeal</b>
O	<b>Objected</b>

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

CLAIM		DATE							
Final	Original	08/30/2008	01/29/2009						
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	2	✓	-						
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	35		✓						
	36		✓						

<b>Index of Claims</b>  	<b>Application/Control No.</b>  11564551	<b>Applicant(s)/Patent Under Reexamination</b>  CALDAS, JOSEPH J.
	<b>Examiner</b>  BRUCE I EBERSMAN	<b>Art Unit</b>  3691

✓	<b>Rejected</b>
=	<b>Allowed</b>

-	<b>Cancelled</b>
÷	<b>Restricted</b>

N	<b>Non-Elected</b>
I	<b>Interference</b>

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Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

CLAIM		DATE							
Final	Original	08/30/2008	01/29/2009						
	37		✓						

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
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S12	2	"6161099".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/26 12:14

S13	20	@ad<"20071129" and (705/37 or 705/35 or 705/36\$1 or 705/38)and auction with securit\$3 and (display or show or present or view) with (bid \$1 or ask\$1 or quote\$1) and ((real adj time) or ((ongoing or current) with price)) and (bond\$1 or (fixed with income)) and updat\$5 and search\$5	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/26 12:18
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S26	2	S23 and bid with history and public with auction	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/26 18:02

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S29	3	S27 and bid with history	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:24
S30	0	S27 and bid with history and auto\$8 with increment \$3	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S31	0	S27 and auto\$8 with increment\$3	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S32	9	S27 and increment\$3	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S33	8	S27 and increment\$3 with price	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S34	8	S27 and increment\$3 with pric\$4	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S35	0	S27 and update with increment\$3 with pric\$4	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:25
S36	8	S27 and increment\$3 with pric\$4	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:26
S37	0	S27 and autobid	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:31
S38	0	S27 and auto\$bid	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:32
S39	71	auto\$bid	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:32
S40	68	auction and auto\$bid	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:32

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S44	2	"705".clas. and auction and auto\$bid and @ad<"20061129" and bond	US-PGPUB; USPAT	OR	OFF	2008/08/27 08:35
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S57	2	S54 and internet and (record) with (sale\$1 or histor\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2008/08/27 12:34
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S75	1	S61 and website with securities	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 10:06
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S78	0	S61 and website with securities and charts	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 10:07

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S81	1	S61 and website with securities and graph\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 10:07
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S90	0	S86 and analyst\$1 and charts	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 10:22
S91	1	S86 and analyst\$1 and chart	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 10:22
S92	2	S86 and maximum adj bid	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:20

S93	9	S86 and maximum	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:20
S94	2	S86 and maximum adj bid	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:26
S95	5	S86 and cusip	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:32
S96	12	S86 and securities	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:37
S97	4	S86 and equity	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 11:38
S98	2	S86 and unique with identifier	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2009/01/29 12:12

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